



IFW

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/687,924	10/20/2003	Krisztian Flautner	550-463

23117  
NIXON & VANDERHYE, PC  
1100 N GLEBE ROAD  
8TH FLOOR  
ARLINGTON, VA 22201-4714

CONFIRMATION NO. 8335

FORMALITIES LETTER

\*OC000000014384980\*

\*OC000000014384980\*

Date Mailed: 11/17/2004

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

### Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 790 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

### SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is **\$920** for a Large Entity

- **\$790** Statutory basic filing fee.
- **\$130** Late oath or declaration Surcharge.

Replies should be mailed to: Mail Stop Missing Parts

01/07/2005 CNGUYEN 00000027 10687924

Commissioner for Patents

01 FC:1001  
02 FC:1051

790.00 OP  
130.00 OP

P.O. Box 1450

Alexandria VA 22313-1450

---

*A copy of this notice MUST be returned with the reply.*

Z-Mag USSR

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

FLAUTNER et al

Serial No. 10/687,924

Filed: October 20, 2003

For: DATA PROCESSING SYSTEM PERFORMANCE COUNTER

Attention: Application Branch

Atty. Dkt. 550-463

Date: January 6, 2005

IFW

**Mail Stop Missing Parts**

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

The attached completes filing of the above-identified patent application:☒ **Correspondence Address Indication Form Attached.**☐ Signed Rule 63 Declaration alone, ☐ Copy of Declaration from prior application alone, OR☒ Signed Declaration plus attached copy of originally filed specification/drawings.☒ **NOTICE TO FILE MISSING PARTS OF APPLICATION FILING DATE GRANTED** form.☒ Record the attached assignment to ARM LIMITED and University of Michigan, of Cambridge, United Kingdom and Ann Arbor, Michigan, respectively and return to the undersigned.☐ Attached is a Power of Attorney.☒ Priority is hereby claimed under 35 U.S.C. § 119 based on the following foreign applications:**Application Number****Country****Day/Month/Year Filed**

0226395.2

GB

12 November 2002

0228549.2

GB

6 December 2002

0305437.6

GB

10 March 2003

respectively.

☐ Certified copy(ies) of foreign application(s) is/are attached.☒ Certified copy(ies) filed on **October 20, 2003**.☐ Applicant claims "small entity" status. ☐ "Small entity" statement attached.☐ Please enter the attached preliminary amendment prior to calculation of filing fee.☒ Also attached: ☒ **Information Disclosure Statement**; ☒ **Identification of Practitioners to be Recognized**; ☒ **Other: Submission of Formal Drawings****Fees due are calculated below:**

Basic filing fee		\$	790.00
Search Fee	\$500.00 (1111)/\$250.00 (2111)	\$	
Examination Fee	\$200.00 (1311)/\$100.00 (2311)	\$	
Application Size Fee for each add'l 50 sheets that exceeds 100 sheets)		\$	
<b>Total pages: 48-100 = 0.00 = 0; \$0.00(1081)/\$ 0.00(2081)</b>		\$	
Total effective claims 14 - 20 (at least 20) = 0 x \$50.00 = \$0.00 (1202)/\$0.00 (2202)		\$	
Independent claims 2 - 3 (at least 3) = 0 x \$200.00 = \$0.00 (1201)/\$0.00 (2201)		\$	
If any proper multiple dependent claims now added for first time (ignore improper), add		\$	
	\$360.00 (1203)/\$180.00 (2203)	\$	
Petition is hereby made to extend the current due date so as to cover the filing date of this paper and attachment(s)			
	One Month Extension \$120.00 (1251)/\$60.00 (2251)		
	Two Month Extensions \$450.00 (1252)/\$225.00 (2252)		
	Three Month Extensions \$1020.00 (1253)/\$510.00 (2253)		
	Four Month Extensions \$1590.00 (1254)/\$795.00 (2254)	\$	
Surcharge if Declaration or filing fee first now submitted:	\$130.00 (1051)/\$65.00 (2051)	\$	130.00
English translation of specification and claims	\$130.00 (1053)	\$	
Assignment Recording Fee	\$40.00 (8021)	\$	40.00
	<b>TOTAL FEE DUE</b>	\$	<b>960.00</b>

☒ **CREDIT CARD PAYMENT FORM ATTACHED.**

Any future submission requiring an extension of time is hereby stated to include a petition for such time extension. The Commissioner is hereby authorized to charge any deficiency, or credit any overpayment, in the fee(s) filed, or asserted to be filed, or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our **Account No. 14-1140**. A duplicate copy of this sheet is attached.

1100 North Glebe Road, 8<sup>th</sup> Floor

Arlington, Virginia 22201-4714

Telephone: (703) 816-4000

Facsimile: (703) 816-4100

SCS:kmm

NIXON &amp; VANDERHYE P.C.

By Atty: Stanley C. Spooner, Reg. No. 27,393

Signature: 

BEST AVAILABLE COPY